AGENDA ILLINOIS CIVIL SERVICE COMMISSION May 20, 2011

- I. <u>OPENING OF MEETING AT 1:00 P.M. AT 400 WEST MONROE STREET, SUITE 306, SPRINGFIELD, ILLINOIS</u>
- II. PRESENT
- III. APPROVAL OF MINUTES OF REGULAR MEETING HELD ON APRIL 15, 2011

WILL THE COMMISSION APPROVE THE MINUTES OF THE REGULAR MEETING HELD ON APRIL 15, 2011?

IV. <u>EXEMPTIONS UNDER SECTION 4d(3) OF THE PERSONNEL CODE</u>

A. Report on Exempt Positions from Central Management Services

A	Total	Number of Exempt
<u>Agency</u>	<u>Employees</u>	<u>Positions</u>
Aging	147	10
Agriculture		
Arts Council		
Capitol Development Board		
Central Management Services		
Children and Family Services		
Civil Service Commission		
Commerce & Economic Opportunity		
Commerce Commission		
Corrections		
Criminal Justice Authority	02	
Deaf and Hard of Hearing Comm.	/	I
Developmental Disabilities Council		
Emergency Management Agency		
Employment Security		
Environmental Protection Agency		
Financial & Professional Regulation		
Gaming Board		
Guardianship and Advocacy		
Healthcare and Family Services		
Historic Preservation Agency		
Human Rights Commission		
Human Rights Department		
Human Services		
Illinois Torture Inquiry Relief Commission	1	1
Insurance	248	12
Investment Board	2	1
Juvenile Justice	1,228	20
Labor		
Labor Relations Board Educational	13	2
Labor Relations Board State	16	2
Law Enforcement Training & Standards Bd	18	2
Medical District Commission		
Military Affairs		
Natural Resources		
Pollution Control Board		
Prisoner Review Board	19	0
Property Tax Appeal Board		
Public Health		
Racing Board		
Revenue		
State Fire Marshal		
State Police		
State Police Merit Board		
State Retirement Systems		
Transportation		
Veterans' Affairs		
Workers' Compensation Commission		
TOTACIS COMPENSATION COMMINISSION	1/4	
TOTALS	48,189	796

B. Governing Rule – Section 1.142 Jurisdiction B Exemptions

- a) The Civil Service Commission shall exercise its judgment when determining whether a position qualifies for exemption from Jurisdiction B under Section 4d(3) of the Personnel Code. The Commission will consider any or all of the following factors inherent in the position and any other factors deemed relevant to the request for exemption:
 - 1) The amount and scope of principal policy making authority;
 - 2) The amount and scope of principal policy administering authority;
 - 3) The amount of independent authority to represent the agency, board or commission to individuals, legislators, organizations or other agencies relative to programmatic responsibilities;
 - 4) The capability to bind the agency, board or commission to a course of action;
 - 5) The nature of the program for which the position has principal policy responsibility;
 - 6) The placement of the position on the organizational chart of the agency, board or commission;
 - 7) The mission, size and geographical scope of the organizational entity or program within the agency, board or commission to which the position is allocated or detailed.
- b) The Commission may, upon its own action after 30 days notice to the Director of Central Management Services or upon the recommendation of the Director of the Department of Central Management Services, rescind the exemption of any position that no longer meets the requirements for exemption set forth in subsection (a). However, rescission of an exemption shall be approved after the Commission has determined that an adequate level of managerial control exists in exempt status that will insure responsive and accountable administrative control of the programs of the agency, board or commission.
- c) For all positions currently exempt by action of the Commission, the Director of Central Management Services shall inform the Commission promptly in writing of all changes in essential functions, reporting structure, working title, work location, position title, position number or specialized knowledge, skills, abilities, licensure or certification.
- d) Prior to granting an exemption from Jurisdiction B under Section 4d(3) of the Personnel Code, the Commission will notify the incumbent of the position, if any, of its proposed action. The incumbent may appear at the Commission meeting at which action is to be taken and present objections to the exemption request.

(Source: Amended at 34 Ill. Reg. 3485, effective March 3, 2010)

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C. <u>Illinois Dept. of Children and Family Services – Proposed Exemption (continued from April 15, 2011 meeting)</u>

Position Number	40070-16-20-200-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Budget and Finance	
Functional Title	Manager, Medicaid Certification and Program Services	
Incumbent	Vacant	
Supervisor	Deputy Director of Budget & Finance, who reports to the Director	
Location	Sangamon County	

CMS Recommendation: "This position does meet the reporting criteria of the Commission Rules and considering the similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

D. Illinois Department of Public Health - Proposed Exemption

Position Number	40070-20-01-040-00-81	
Position Title	Senior Public Service Administrator	
Bureau/Division	Office of Performance Management	
Functional Title	Deputy Director for the Office of Performance Management	
Incumbent	Vacant	
Supervisor	Director	
Location	Cook County	

CMS Recommendation: "This position does meet the reporting criteria of the Commission Rules and considering the similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

E. Illinois Department of Financial and Professional Regulation – Proposed Exemption

Position Number	40070-13-40-350-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Professional Regulation	
Functional Title	Coordinator of Real Estate Appraisal	
Incumbent	Vacant	
Supervisor	Director of Professional Regulation	
Location	Cook County	

CMS Recommendation: "This position does meet the reporting criteria of the Commission Rules and considering the similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

F. Illinois Department of Central Management Services – Proposed Exemption

Position Number	40070-37-10-100-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Bureau of Communication & Computer Services	
Functional Title	Executive Contract and Software License Manager	
Incumbent	t Vacant	
Supervisor	Deputy Director, Bureau of Communication & Computer Services,	
Supervisor	who reports to the Director	
Location	Sangamon County	

CMS Recommendation: "This position meets the reporting criteria of the Commission Rules and considering the similarity of this position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

G1. <u>Illinois Department of Revenue – Proposed Exemption</u>

Position Number	40070-25-00-000-20-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Labor Relations	
Functional Title	Chief Labor Relations Director	
Incumbent	Vacant	
Supervisor	Director	
Location	Sangamon County	

G2. <u>Illinois Department of Revenue – Proposed Exemption</u>

Position Number	40070-25-10-300-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Administrative Services	
Functional Title	Chief Financial Reporting Director	
Incumbent	Vacant	
Supervisor	Director	
Location	Sangamon County	

CMS Recommendation: "These positions do meet the reporting criteria of the Commission Rules and considering the similarities of these requested positions with those of other 4d(3) exempt positions, I recommend 4d(3) exemptions."

H. Illinois Department of Natural Resources - Proposed Exemption

Position Number	40070-12-20-000-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Office of Architecture, Engineering, and Grants	
Functional Title	Director	
Incumbent	Vacant	
Supervisor	Deputy Director, who reports to the Director	
Location	Sangamon County	

CMS Recommendation: "This position meets the reporting criteria of the Commission Rules and considering the similarities of the requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

I. <u>Illinois Department of Natural Resources – Proposed Exemption</u>

Position Number	40070-12-00-120-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Office of the Director	
Functional Title	Special Assistant to the Director	
Incumbent	Vacant	
Supervisor	Director	
Location	Sangamon County	

CMS Recommendation: "This position meets the reporting criteria of the Commission Rules and considering the similarities of the requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

WILL THE COMMISSION GRANT THE REQUEST FOR EXEMPTION FROM JURISDICTION B OF THE PERSONNEL CODE FOR THE FOLLOWING POSITIONS?

- C: Manager, Medicaid Certification and Program Services (Children and Family Services)
- **D:** Deputy Director, Office of Performance Management (Public Health)
- E: Coordinator of Real Estate Appraisal (Financial & Professional Regulation)
- F: Executive Contract & Software License Manager (Central Management Services)
- **G1:** Chief Labor Relations Director (Department of Revenue)
- **G2:** Chief Financial Reporting Director (Department of Revenue)
- H: Director, Office of Architecture, Engineering, and Grants (Natural Resources)
- I: Special Assistant to the Director (Natural Resources)

J. <u>Proposed Rescissions in accordance with Section 1.142(b) of the Rules of the Civil Service Commission</u>

On April 18, 2011 the Executive Director sent notice to the Director of Central Management Services of the proposed rescissions of 23 current 4d(3) exempt positions which may no longer meet the requirements for exemption. According to information provided by Central Management Services, these positions were granted exempt status but have been vacant for an extended period of time. Each affected agency director was also provided with a courtesy notice of this proposed action. After resolving a number of issues with several of the proposed rescissions, the following is a list of the positions that will now be put forth before the Commission for formal consideration of rescission of their exemption. Section 1.142(b) also provides that withdrawal of exemption shall be approved after the Commission has determined that an adequate level of managerial control exists in exempt status positions which will ensure responsive and accountable administrative control of the programs of the agency.

	Agency	Position Number	Functional Title	
J1	Central Mgmt. Services	40070-37-80-100-01-22	IOCI, Media Administrator	
J2	Corrections	40070-29-62-200-00-01	Assistant Warden Programs- Pinckneyville	
J3	Corrections	40070-29-86-200-00-01	Assistant Warden Programs-Vienna	
J4	Corrections	40070-29-98-300-00-01	Assistant Warden Operations-Hill	
J5	Corrections	40070-29-99-200-00-01	Asst, Warden Programs-Western IL	
J6	Juvenile Justice	40070-27-00-100-00-01	Deputy Director, Operations	
J7	Juvenile Justice	40070-27-17-300-00-01	Assistant Warden, Operations-IYC Chicago	
J8	Juvenile Justice	40070-27-20-200-00-01	Asst. Warden Programs-Harrisburg	
J9	Juvenile Justice	40070-27-35-200-00-01	Assistant Warden Programs-Joliet	
J10	Revenue	40070-25-00-100-60-01	Policy Advisor, Informal Conf. Board	

WILL THE COMMISSION RESCIND THE EXEMPTION FROM JURISDICTION B OF THE PERSONNEL CODE FOR THE FOLLOWING POSITIONS?

- J1: IOCI, Media Administrator (Central Management Services)
- **J2:** Assistant Warden Programs-Pincknevville (Corrections)
- **J3:** Assistant Warden Programs-Vienna (Corrections)
- J4: Assistant Warden Operations-Hill (Corrections)
- **J5:** Assistant Warden Programs-Western Illinois (Corrections)
- **J6:** Deputy Director, Operations (Juvenile Justice)
- J7: Assistant Warden, Operations-IYC Chicago (Juvenile Justice)
- J8: Assistant Warden Programs-Harrisburg (Juvenile Justice)
- **J9:** Assistant Warden Programs-Joliet (Juvenile Justice)
- J10: Policy Advisor, Informal Conference Board (Revenue)

V. CLASS SPECIFICATIONS

The following class titles were submitted for creation by the Director of Central Management Services:

Educator Trainee (HR-010) Educator Trainee (RC-063)

<u>CMS Classification Analysis</u>: "The study was initiated as a result of a desire by staff at the Department of Human Services (DHS) to obtain additional candidates for their Educator positions; they felt that the requirements on the Educator class limit their ability to recruit teachers. The requirements for the Educator class specification include: "Requires a bachelor's degree and a valid Initial, Standard, or Master Illinois' teaching certificate in the area of specialization appropriate to the subject matter and type of students."

DHS staff did not express a desire to change the Educator requirements, but instead sought the creation of a new class to "level the playing field" with school districts, both public and private. Currently, school districts not in state government may hire a student who has successfully completed all coursework to graduate with a degree in education, but has not yet finished the student teaching requirement. Per the requirements for the Educator class, the State of Illinois is unable to hire a student until after graduation.

The requirements for the proposed Educator Trainee include: "Requires completion of all coursework necessary to obtain a teaching certificate, but not including student teaching." The creation of the Educator Trainee should enable State of Illinois institutions to hire qualified applicants on a more equal footing with the school districts. The Educator Trainee class will be used to allow students to complete their student teaching in State facilities, while being employed by the State. If students successfully complete the student teaching and obtain the required teaching certificate within the 3 to 6 months timeframe, they would be promoted to the Educator class.

As the Educator class is also used by the Departments of Corrections and Juvenile Justice, staff in those agencies were asked to review the draft class specification and to comment upon same. Their suggested modifications have been incorporated into the proposed Educator Trainee class specification and the Department of Human Services agrees with the changes made."

WILL THE COMMISSION APPROVE THE CREATION OF THE FOLLOWING CLASS TITLES TO BE EFFECTIVE JUNE 1, 2011?

Educator Trainee (HR-010) Educator Trainee (RC-063)

WILL THE COMMISSION DISAPPROVE ANY CLASS SPECIFICATIONS RECEIVED BY THE COMMISSION STAFF NOT CONTAINED IN THIS REPORT TO ALLOW ADEQUATE STUDY?

VI. MOTION TO GO INTO EXECUTIVE SESSION

PURSUANT TO SUBSECTIONS 2(c)(1), 2(c)(4), AND 2(c)(11) OF THE OPEN MEETINGS ACT, WILL THE COMMISSION HOLD AN EXECUTIVE SESSION TO CONSIDER APPEALS FILED WITH THE COMMISSION, LITIGATION, AND/OR SPECIFIED EMPLOYMENT MATTERS?

VII. RECONVENE MEETING

VIII. NON-MERIT APPOINTMENT REPORT

The Personnel Code permits non-merit appointments for a limited period of time, i.e., emergency appointments shall not exceed 60 days and shall not be renewed, and positions shall not be filled on a temporary or provisional basis for more than six months out of any twelve-month period. Consecutive non-merit appointments are not violative of the Code; however, they do present a possible evasion of merit principles and should be monitored. Set forth below is the number of consecutive non-merit appointments made by each department. These statistics are from the Department of Central Management Services' Consecutive Non-Merit Appointment Reports.

Agency	3/31/11	4/30/11	4/30/10
Aging	0	0	1
Agriculture	1	0	0
Central Management Services	0	1	0
Children and Family Services	3	2	6
Employment Security	2	5	3
Healthcare and Family Services	1	3	1
Historic Preservation Agency	1	1	1
Human Services	2	1	2
Natural Resources	8	9	1
Property Tax Appeal Board	2	3	1
Transportation	5	1	0
Veterans' Affairs	1	0	0
Totals	26	26	16

IX. <u>INTERLOCUTORY APPEALS</u>

<u>RV-7-11</u>¹

Petitioner Brenda L. Crouse Appeal Date 07/22/10 Transportation and Central Agency **Decision Date** 04/22/11 **Management Services** Rule Violation ALJ Type Andrew Barris Proposal for Issue(s) Dismissed subject to approval of No jurisdiction Decision Commission.

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¹ RV-7-11 and RV-8-11 were consolidated on April 22, 2011.

RV-8-11

Petitioner	Linda L. Bumgarner	Appeal Date	07/22/10
Agency	Transportation and Central Management Services	Decision Date	04/22/11
Type	Rule Violation	ALJ	Andrew Barris
Issue(s)	No jurisdiction	Proposal for Decision	Dismissed subject to approval of Commission.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE CONSOLIDATED MATTER?

S-44-11

Employee	Judith A. Motluck	Appeal Date	03/22/11
Agency	Human Services	Decision Date	05/06/011
Type	Suspension	ALJ	Daniel Stralka
Issue(s)	Motion to Dismiss; no jurisdiction	Proposal for	Grant Motion to
		Decision	Dismiss.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

X. PUBLICLY ANNOUNCED DECISIONS RESULTING FROM APPEALS

DA-20-11

Employee	Mariah Welch	Appeal Date	09/14/10
Agency	Corrections	Decision Date	05/06/11
Type	Discharge	ALJ	Andrew Barris
Charge(s)	Allowed trading and trafficking	Proposal for	Charges are partially
	between housing unit offenders,	Decision	proven and warrant
	brought contraband into Correctional		60-day suspension in
	Center & trafficked to an offender,		lieu of discharge.
	socialized with offenders.		

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

DA-24-11

Employee	Sammy L. Hines	Appeal Date	10/14/10
Agency	Human Services	Decision Date	05/04/11
Type	Discharge	ALJ	Daniel Stralka
Charge(s)	Mental abuse of an individual;	Proposal for	Charges are partially proven
	providing false/conflicting	Decision	and warrant 60-day susp. in
	info. during an investigation		lieu of discharge.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

DA-31-11

Employee	Adrienne D. Hinds	Appeal Date	11/19/10
Agency	Human Services	Decision Date	05/02/11
Type	Discharge	ALJ	Daniel Stralka
Charge(s)	Conduct unbecoming	Proposal for Decision	Charges are proven and warrant discharge.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

DA-32-11

Employee	Victoria S. Senor	Appeal Date	12/03/10
Agency	Human Services	Decision Date	05/06/11
Type	Discharge	ALJ	Daniel Stralka
Charge(s)	Sleeping on duty	Proposal for Decision	Charges are proven and warrant discharge.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

RV-55-10

Petitioner	George T. Rivera	Appeal Date	06/14/10
Agency	Transportation	Decision Date	04/25/11
Type	Rule Violation	ALJ	Andrew Barris
Allegation(s)	Violation of Personnel Rules	Proposal for	No violation.
	Sections 302.435 and 302.790	Decision	

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

RV-22-11

Petitioner	Rick D. Anderson	Appeal Date	09/22/10
Aganay	Central Management	Decision	04/22/11
Agency	Services	Date	04/22/11
Type	Rule Violation	ALJ	Andrew Barris
Allegation(s)	Violation of	Proposal for	Violation of Personnel Rules Section
	Personnel Rules	Decision	303.30; CMS directed to reinstate
	Section 303.45		Anderson's Step 4 grievance for
			review and final determination.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSAL FOR DECISION IN THE ABOVE MATTER?

XI. APPEALS TERMINATED WITHOUT DECISION ON THE MERITS

DA-42-11

Employee	Ida C. Johnson	Appeal Date	03/07/11
Agency	Human Services	Decision Date	05/05/11
Type	Discharge	ALJ	Daniel Stralka
Charge(s)	Recipient physical abuse	Proposal for	Dismissed subject to approval of
		Decision	Commission; withdrawn (settled).

DA-46-11

Employee	Ashley Hanneken	Appeal Date	04/13/11
Agency	Human Services	Decision Date	05/09/11
Type	Discharge	ALJ	Andrew Barris
Charge(s)	Unauthorized absences	Proposal for	Dismissed subject to approval of
		Decision	Commission; withdrawn.

DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSALS FOR DECISION IN THE ABOVE MATTERS?

XII. STAFF REPORT

XIII. ANNOUNCEMENT OF NEXT MEETING

The next regular meeting is to be held on Friday, June 17, 2011 at 11:00 a.m. in the Commission's Chicago office.

XIV. MOTION TO ADJOURN