#### AGENDA ILLINOIS CIVIL SERVICE COMMISSION JULY 19, 2007

#### I. <u>OPENING OF MEETING AT 9:00 A.M. AT 160 NORTH LASALLE STREET, SUITE</u> <u>S-901, CHICAGO, ILLINOIS</u>

II. <u>PRESENT</u>

#### III. APPROVAL OF MINUTES OF REGULAR MEETING HELD JUNE 21, 2007

# WILL THE COMMISSION APPROVE THE MINUTES OF THE REGULAR MEETING HELD JUNE 21, 2007?

## IV. EXEMPTIONS UNDER SECTION 4d(3) OF THE PERSONNEL CODE

# A. <u>Report on Exempt Positions</u>

	Total	Number of Exempt
Agency	Employees	<b>Positions</b>
	1.50	0
Aging		
Agriculture		17
Arts Council		2
Capitol Development Board		0
Central Management Services	1,619	
Children and Family Services		
Civil Service Commission		
Commerce & Economic Opportunity		
Commerce Commission		
Corrections		
Criminal Justice Authority		
Deaf and Hard of Hearing Comm.	5	1
Developmental Disabilities Council		1
Emergency Management Agency		1
Employment Security		
Environmental Protection Agency		
Financial & Professional Regulation		
Guardianship and Advocacy		
Healthcare and Family Services		
Historic Preservation Agency		
Human Rights Commission		
Human Rights Department		
Human Services		
Investment Board		
Juvenile Justice		
Labor		
Labor Relations Board Educational		
Labor Relations Board Local		
Labor Relations Board State		
Law Enforcement Training & Standards Bd.		
Medical District Commission		
Military Affairs		
Natural Resources		
Pollution Control Board		
Prisoner Review Board		0
Property Tax Appeal Board		2 25
Public Health		
Revenue		
State Fire Marshal		
State Police	/	
State Police Merit Board		
State Retirement Systems		
Transportation		
Veterans' Affairs		
Workers' Compensation Commission	171	
TOTALS	51,957	

#### B. Governing Rule - Jurisdiction B Exemptions

- a) Before a position shall qualify for exemption from Jurisdiction B under Section 4d(3) of the Personnel Code, the position shall be directly responsible to:
  - 1. The Governor, or
  - 2. A departmental director or assistant director appointed by the Governor, or
  - 3. A board or commission appointed by the Governor, or
  - 4. The head of an agency created by Executive Order, or the director or assistant director of an agency carrying out statutory powers, whose offices are created by the Governor subject to legislative veto under Article V, Section 11, of the Constitution of 1970, which agency head, director, or assistant director may themselves be subject to exemption under Section 4d(3), or
  - 5. In an agency having a statutory assistant director, a deputy director exercising full line authority under the director for all operating entities of the agency, provided the statutory role of assistant director is vacant or is assigned clearly distinct and separate duties from the deputy director and as a colleague to him, or
  - 6. A line position organizationally located between the director and/or assistant director and a subordinate statutorily exempt position(s), provided the position proposed for exemption has line authority over the statutory exempt position(s), or
  - 7. The elected head of an independent agency in the executive, legislative, or judicial branch of government.
- b) If a position meets the above criterion, it must, in addition, be responsible for one or more of the following before it shall be approved as exempt:
  - 1. Directs programs defined by statute and/or departmental, board, or commission policy or possess significant authority when acting in the capacity of a director of programs to bind the agency.
  - 2. Makes decisions in exercising principal responsibility for the determination or execution of policy which fix objectives or state the principles to control action toward operating objectives of one or more divisions, such decisions being subject to review or reversal only by the director, assistant director, board or commission.
  - 3. Participates in the planning and programming of departmental, board, or commission activities, integrating the plans and projections of related divisions, and the scheduling of projected work programs of those agencies.

\* \* \*

Position Number	40070-16-00-800-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Director's Office
Functional Title	Executive Deputy Director
Incumbent	None
Supervisor	Director
Location	Cook County

## C. <u>Illinois Department of Children & Family Services – Proposed Exemption</u> (continued from June 21, 2007)

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

### D. <u>Illinois Department of Corrections – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-29-00-140-00-02
Position Title	Senior Public Service Administrator
Bureau/Division	Public Safety Shared Services Center
Functional Title	Agency Liaison for Human Resources
Incumbent	None
Supervisor	Director of the Agency
Location	Sangamon County

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

#### E1. <u>Illinois Department of Corrections – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-29-40-210-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Public Safety Shared Services Center
Functional Title	Assistant Deputy Director of Human Resources,
Functional The	Functional Processes
Incumbent	None
	Deputy Director of Human Resources, Public Safety
Supervisor	Shared Services Center (who reports to Director of
Supervisor	Public Safety Shared Services Center, who in turn
	reports to the Governor)
Location	Sangamon County

## E2. <u>Illinois Department of Corrections – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-29-40-220-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Public Safety Shared Services Center
Functional Title	Assistant Deputy Director of Human Resources,
Functional The	Strategic Processes
Incumbent	None
	Deputy Director of Human Resources, Public Safety
Supervisor	Shared Services Center (who reports to Director of
Supervisor	Public Safety Shared Services Center, who in turn
	reports to the Governor)
Location	Sangamon County

### E3. <u>Illinois Department of Corrections – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-29-40-420-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Public Safety Shared Services Center
Functional Title	Risk Assessment Project Manager
Incumbent	None
	Deputy Director of Projects, Public Safety Shared
Supervisor	Services Center (who reports to Director of Public
	Safety Shared Services Center, who in turn reports to
	the Governor)
Location	Sangamon County

**CMS Recommendation:** "These positions do meet the reporting criteria of the Commission Rules and considering similarities of these requested positions with those of other 4d(3) exempt positions, I recommend 4d(3) exemptions."

#### F. <u>Illinois Dept. of Financial and Professional Regulation – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-13-00-200-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Secretary's Office
Functional Title	Chief Liaison for Human Resources
Incumbent	None
Supervisor	Secretary
Location	Cook County

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

Position Number	40070-25-12-210-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Administrative & Regulatory Shared Services Center
Functional Title	Assistant Human Resources Director,
Functional Title	Strategic Processes
Incumbent	None
	Human Resources Director of the Administrative &
Supervisor	Regulatory Shared Services Center (who reports to the
Supervisor	Director of the Administrative & Regulatory Shared
	Services Center, who in turn reports to the Governor)
Location	Sangamon County

## G. <u>Illinois Department of Revenue – Proposed Exemption</u> (continued from 6/21/07)

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

#### H. <u>Illinois Department of Revenue – Proposed Exemption</u> (continued from June 21, 2007)

Position Number	40070-25-12-220-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Administrative & Regulatory Shared Services Center
Encodia de 1 Title	Assistant Human Resources Director,
Functional Title	Functional Processes
Incumbent	None
	Human Resources Director of the Administrative &
Cumomicon	Regulatory Shared Services Center (who reports to the
Supervisor	Director of the Administrative & Regulatory Shared
	Services Center, who in turn reports to the Governor)
Location	Sangamon County

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

Position Number	40070-29-04-020-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Operations
Functional Title	Deputy Director of Offender Classification and
	Population Management
Incumbent	None
Supervisor	Chief of Operations, who reports to Director
Location	Sangamon County

### I. <u>Illinois Department of Corrections – Proposed Exemption</u>

**CMS Recommendation:** "This position does meet the reporting criteria of the Commission Rules and considering similarities of this requested position with those of other 4d(3) exempt positions, I recommend 4d(3) exemption."

#### J1. Illinois Dept. of Financial and Professional Regulation – Proposed Exemption

Position Number	40070-13-40-931-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Professional Regulation
Functional Title	Chief of Medical Prosecutions
Incumbent	Sadzi Oliva
Supervisor	Director of Statewide Enforcement (who reports to the
Supervisor	Director, who in turn reports to the Secretary)
Location	Cook County

## J2. Illinois Dept. of Financial and Professional Regulation – Proposed Exemption

Position Number	40070-13-40-932-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Professional Regulation
Functional Title	Chief of Business Prosecutions
Incumbent	None
Supervisor	Director of Statewide Enforcement (who reports to the
Supervisor	Director, who in turn reports to the Secretary)
Location	Cook County

Position Number	40070-13-40-933-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Professional Regulation	
Functional Title	Chief of Health Related Prosecutions	
Incumbent	None	
Supervisor	Director of Statewide Enforcement (who reports to the Director, who in turn reports to the Secretary)	
Location	Cook County	

## J3. <u>Illinois Dept. of Financial and Professional Regulation – Proposed Exemption</u>

#### J4. Illinois Dept. of Financial and Professional Regulation – Proposed Exemption

Position Number	40070-13-40-934-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Professional Regulation	
Functional Title	Chief of General Prosecutions	
Incumbent	Bill Laskaris	
Supervisor	Director of Statewide Enforcement (who reports to the	
Supervisor	Director, who in turn reports to the Secretary)	
Location	Cook County	

## J5. Illinois Dept. of Financial and Professional Regulation – Proposed Exemption

Position Number	40070-13-40-935-00-01	
Position Title	Senior Public Service Administrator	
Bureau/Division	Professional Regulation	
Functional Title	Chief of Real Estate Prosecutions	
Incumbent	Mary Benden	
Supervisor	Director of Statewide Enforcement (who reports to the	
Supervisor	Director, who in turn reports to the Secretary)	
Location	Cook County	

**CMS Recommendation:** "These positions do meet the reporting criteria of the Commission Rules and considering similarities of these requested positions with those of other 4d(3) exempt positions, I recommend 4d(3) exemptions."

Position Number	40070-37-00-100-00-01
Position Title	Senior Public Service Administrator
Bureau/Division	Director's Office
Functional Title	Deputy Director of Labor Relations
Incumbent	None
Supervisor	Director
Location	Cook County

#### K. Illinois Department of Central Management Services – Proposed Exemption

**CMS Recommendation:** "As this position meets the reporting criteria as set forth in Section 1.142 Jurisdiction B Exemptions of the 80 Illinois Administrative Code and considering similarities of this requested position with those of other 4d(3) exempt positions in Central Management Services, I recommend 4d(3) exemption."

#### WILL THE COMMISSION GRANT THE REQUESTS FOR EXEMPTION FROM JURISDICTION B OF THE PERSONNEL CODE FOR THE FOLLOWING POSITIONS?

- Item C: Executive Deputy Director
- Item E1: Assistant Deputy Director of Human Resources (Functional)
- Item E2: Assistant Deputy Director of Human Resources (Strategic)
- Item E3: Risk Assessment Project Manager for Shared Services Center
- Item F: Chief Liaison for Human Resources
- Item G: Assistant Human Resources Director, Strategic Processes
- Item H: Assistant Human Resources Director, Functional Processes
- Item I: Dep. Dir. of Offender Classification & Population Management
- Item J1: Chief of Medical Prosecutions
- Item J2: Chief of Business Prosecutions
- Item J3: Chief of Health Related Prosecutions
- Item J4: Chief of General Prosecutions
- Item J5: Chief of Real Estate Prosecutions
- Item K: Deputy Director of Labor Relations

#### V. <u>CLASS SPECIFICATIONS</u>

• None

#### WILL THE COMMISSION DISAPPROVE ANY CLASS SPECIFICATIONS RECEIVED BY THE COMMISSION STAFF NOT CONTAINED IN THIS REPORT TO ALLOW ADEQUATE STUDY?

#### VI. MOTION TO GO INTO EXECUTIVE SESSION

PURSUANT TO SUBSECTIONS 2(c)(1), 2(c)(4), AND 2(c)(11) OF THE OPEN MEETINGS ACT, WILL THE COMMISSION HOLD AN EXECUTIVE SESSION TO CONSIDER APPEALS FILED WITH THE COMMISSION, LITIGATION, AND/OR SPECIFIED EMPLOYMENT MATTERS?

#### VII. <u>RECONVENE MEETING</u>

#### VIII. NON-MERIT APPOINTMENT REPORT

The Personnel Code permits non-merit appointments for a limited period of time, i.e., emergency appointments shall not exceed 60 days and shall not be renewed, and positions shall not be filled on a temporary or provisional basis for more than six months out of any twelve-month period. Consecutive non-merit appointments are not violative of the Code, however, they do present a possible evasion of merit principles and should be monitored. Set forth below is the number of consecutive non-merit appointments made by each department. These statistics are from the Department of Central Management Services' Consecutive Non-Merit Appointment Reports.

Agency	5/31/07	6/30/07	6/30/06
Agriculture	1	8	12
Arts Council	0	1	0
Central Management Services	6	9	3
Children and Family Services	4	6	8
Commerce and Economic Opportunity	1	1	2
Employment Security	1	1	0
Financial and Professional Regulation	0	0	6
Healthcare and Family Services	19	18	10
Historic Preservation	11	25	15
Human Rights Department	0	0	1
Human Services	4	5	3
Natural Resources	19	29	30
Property Tax Appeal Board	2	2	1
State Retirement Systems	1	1	0
Transportation	30	28	25
Veterans' Affairs	2	2	1
Workers' Compensation Commission	1	1	0
Totals	102	137	117

#### IX. <u>PUBLICLY ANNOUNCED DECISIONS RESULTING FROM APPEAL</u>

## • **DISCHARGE APPEAL**

#### **DA-16-07**

Employee	Felicia J. Kirk	Appeal Date	12/12/06
Agency	DHS	Decision Date	7/06/07
Туре	Discharge	ALJ	Daniel Stralka
Charge(s)	Conduct unbecoming (loud, inappropriate & disruptive); neglect of duties; failure to follow procedures	Recommended Decision	Charges are proven and warrant discharge.

# DOES THE COMMISSION AFFIRM AND ADOPT THE RECOMMENDED DECISION IN THE ABOVE MATTER?

#### • <u>DISCHARGE APPEAL</u>

#### **DA-30-07**

Employee	Candy J. Porter	Appeal Date	2/15/07
Agency	DHS	Decision Date	7/06/07
Туре	Discharge	ALJ	Andrew Barris
Charge(s)	Abuse of service recipient;	Recommended	Charges are proven and
	squeezing hands, hitting back	Decision	warrant discharge.
	of the head & yelling.		

# DOES THE COMMISSION AFFIRM AND ADOPT THE RECOMMENDED DECISION IN THE ABOVE MATTER?

#### • <u>RULE VIOLATION APPEAL</u>

#### <u>RV-20-07</u>

Employee	Gerald Swinkle	Appeal Date	12/28/06
Agency	Liquor Control Commission	Decision Date	6/26/07
Туре	Rule Violation	ALJ	Daniel Stralka
Charge(s)	Hiring practice violated	Proposed	Facts do not establish any
	Section 8b.7 of the Personnel	Finding	violation of Section 8b.7 of
	Code (veteran preference)		the Personnel Code.

# DOES THE COMMISSION AFFIRM AND ADOPT THE PROPOSED FINDING IN THE ABOVE MATTER?

### X. <u>APPEALS TERMINATED WITHOUT DECISION ON THE MERITS</u>

## • <u>WITHDRAWN</u>

#### DA-24-07

Employee	Rosalind Leonard-Coleman	Appeal Date	1/10/07
Agency	HFS	Decision Date	6/25/07
Туре	Discharge	ALJ	Daniel Stralka
Charge(s)	Tardiness; personal use of	Recommended	Dismissed subject to
	state equipment (computer	Decision	Commission approval;
	and telephone)		withdrawn (settled).

### • **DISMISSAL**

#### <u>S-40-07</u>

Employee	Diane Erickson-Rodney <sup>1</sup>	Appeal Date	4/25/07
Agency	DCFS	Decision Date	6/29/07
Туре	Suspension	ALJ	Daniel Stralka
Charge(s)	Failure to follow a	Recommended	Dismissed subject to
	directive (attend	Decision	Commission approval; default
	meeting) and misuse of		(no show at hearing) and no
	time (absent without		jurisdiction (suspension did not
	authorization)		exceed 30 days and appeal not
			timely filed).

## • **DISMISSAL**

#### **DA-43-07**

Employee	Terry W. Spurlin	Appeal Date	6/04/07
Agency	Workers' Comp.	Decision Date	6/29/07
	Commission		
Туре	Discharge	ALJ	Daniel Stralka
Charge(s)	Not available	Recommended	Dismissed subject to Commission
		Decision	approval; no jurisdiction (employee
			appointed to exempt position).

# DOES THE COMMISSION APPROVE THE DISMISSALS OF THE ABOVE MATTERS?

<sup>&</sup>lt;sup>1</sup> Diane Erickson-Rodney's appeal was filed by her daughter, Simone Travis. Ms. Erickson-Rodney passed away April 7, 2007.

## XI. <u>STAFF REPORT</u>

## XII. <u>ANNOUNCEMENT OF NEXT MEETING</u>

The next regular meeting is to be held on Thursday, August 16, 2007 at 9:00 a.m. in the Commission's Chicago office.

# XIII. MOTION TO ADJOURN